

CS203 Programming with Data Structures  
Sorting

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## Sorting

- ◆ Given an collection of elements, rearrange the elements so that they are in ascending or descending order
  - The *collection* is usually an array
  - The elements are comparable
- ◆ For example:
 

Before sorting: 

30	10	15	21	18	25
----	----	----	----	----	----

After sorting: 

10	15	18	21	25	30
----	----	----	----	----	----

## Bubble Sort

Given an array of size  $N$

For  $i=0$  to  $N-1$   
 find the *smallest element* in the range  $i+1$  to  $N-1$ ,  
 and swap it with the element at position  $i$   
 Done

- ◆ Or in other words
  - Find the smallest element and put it at 1<sup>st</sup> position
  - Find the second smallest element and put it at 2<sup>nd</sup> position
  - ...

## Bubble Sort Example

0	1	2	3	4	5
30	10	15	21	18	25

↙ ↘

After 1<sup>st</sup> iteration: 

10	30	15	21	18	25
----	----	----	----	----	----

First iteration:

- $i=0$
- The smallest element in the range 1 to 5 is 10
- Swap 10 and 30

## Comparison and Swap

- ◆ Bubble sort
  - Number of comparisons??
  - Number of swaps??
- ◆ Can we improve on Bubble Sort??

## Decision Tree

## Properties of A Decision Tree

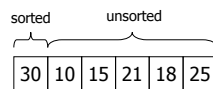
- ◆ Number of leaves: ??
- ◆ Height of the tree: ??
- ◆ *Any sorting algorithm that only uses comparisons between elements require at least  $O(N \log N)$  comparisons*

## Insertion Sort

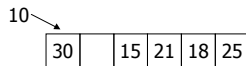
- ◆ Make  $N-1$  passes of the array
- ◆ At pass  $p$ ,
  - the first  $p$  elements of the array are already sorted.
  - "insert"  $a[p+1]$  so the first  $p+1$  elements are sorted.

## Insertion Sort Example

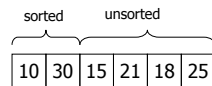
1st Pass:



Take 10 and insert it into the sorted portion:



After insertion:



## Insertion Sort Implementation

- ◆ Implementation #1:
  - Binary search for insertion position
  - shift elements to the right
  - Insert
- ◆ Complexity of pass  $P$ 
  - $\log P$  comparisons
  - $P/2$  assignments

## Insertion Sort Implementation

- ◆ Implementation #2:
  - Pair-wise swap
- ◆ Complexity of pass  $P$ 
  - $P/2$  comparisons
  - $P/2$  swaps

## Insertion Sort Complexity

- ◆ Best case: ??
- ◆ Worst case: ??
- ◆ Average case:  $O(N^2)$

## Heap Sort

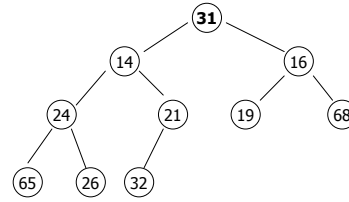
### ◆ Heap sort strategy

- Construct a heap with  $N$  *insertions*:  $O(??)$
- Construct a sorted array with  $N$  *removeMin*:  $O(??)$

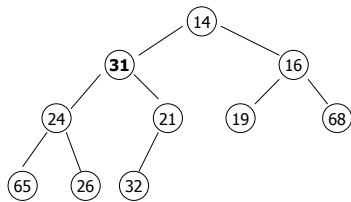
◆ *Can we construct the heap more efficiently (in linear time)??*

◆ *Can we perform heap sort without the extra space requirement??*

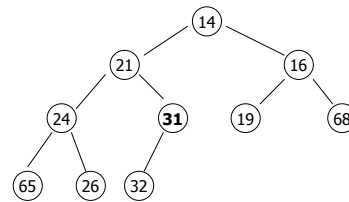
## Percolate Down



## Percolate Down



## Percolate Down



## percolateDown

```

void percolateDown( int pos )
{
    int child;
    Comparable tmp = array[pos];

    while( pos*2 <= size )
    {
        child = pos * 2;
        if( child != size && array[child+1].compareTo(array[child]) < 0 ) child++;

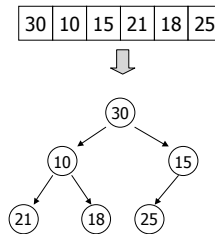
        if( array[child].compareTo(tmp) < 0 ) array[pos] = array[child];
        else break;

        pos = child;
    }

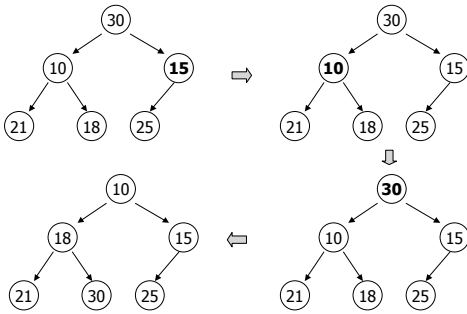
    array[pos] = tmp;
}
    
```

## Building A Heap

- ◆ Percolate down the non-leaf nodes *in reverse order*



## Heap Building Example



## Heap Building Complexity

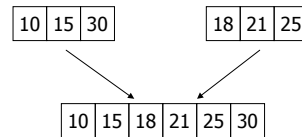
- ◆ The complexity of percolate down one node is: ??
- ◆ The complexity of percolate down all non-leaf nodes is:  $O(N)$

## Heap Sort Algorithm

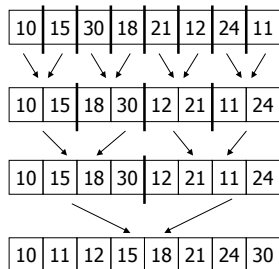
- ◆ Build a MaxHeap
- ◆ `removeMax()` then put the removed value into the last position

## Merge Sort

- ◆ Merging two sorted arrays takes linear time



## Merge Sort Example



## Merge Sort Code ...

```
Comparable tmpArray[];

void mergeSort( Comparable a[] )
{
    tmpArray = new Comparable[a.length];
    mergeSort( a, 0, a.length-1 );
}
```

## ... Merge Sort Code

```
void mergeSort( Comparable a[], int left, int right )
{
    if( left < right )
    {
        int mid = (left+right) / 2;
        mergeSort( a, left, mid );
        mergeSort( a, mid+1, right );
        merge( a, left, mid, right );
    }
}
```

## About Merge Sort

### ◆Complexity

$$\left. \begin{array}{l} n T(1) = 1 \\ n T(N) = 2T(N/2) + N \end{array} \right\} O(N \log N)$$

### ◆Rarely used in practice

- n Require extra space for the temporary array
- n Copying to and from the temporary array is costly

## Quick Sort

- ◆Fastest sorting algorithm *in practice*
- ◆Complexity
  - n Average case:  $O(N \log N)$
  - n Worst case:  $O(N^2)$
- ◆Easy to understand, very hard to code correctly

## Quick Sort Algorithm

### Given array A

1. If  $|A| = 1$  or 0, return
2. Pick any element  $v$  in A.  $v$  is called the pivot.
3. Partition  $A - \{v\}$  (the remaining elements in A) into two disjoint groups  $A_1$  and  $A_2$ 
  - n  $A_1 = \{x \in A - \{v\} \mid x \leq v\}$
  - n  $A_2 = \{x \in A - \{v\} \mid x \geq v\}$
4. Return  $\{\text{quicksort}(A_1), v, \text{quicksort}(A_2)\}$

## Understand The Notations

A: 

30	10	15	21	18	25
----	----	----	----	----	----

v: 25

$A_1$ : 

10	15	21	18
----	----	----	----

$A_2$ : 

30
----

## Observations About The Quick Sort Algorithm

- ◆It should work
- ◆It's not very clearly defined
  - n How do we pick the pivot?
  - n How do we do the partitioning?
  - n How do we handle duplicate values?
- ◆Why is it more efficient than Merge Sort?

## Picking the Pivot

- ◆ Ideally, the pivot should lead to two equal-sized partitions
  - First element??
  - Random pick??
  - Median of (first, middle, last)

## Partitioning ...

A: 

30	15	21	18	25	10
----	----	----	----	----	----

  
Pivot = median(30,21,10) = 21

1. Swap pivot to the last position

30	15	10	18	25	21
----	----	----	----	----	----

  
          ↑                                  ↑  
          i                                  j

## ... Partitioning

We want to move smaller elements to the left part of the array and larger elements to the right part, So:

2. Increase  $i$  until  $a[i] > \text{pivot}$   
Decrease  $j$  until  $a[j] < \text{pivot}$   
swap  $a[i]$  and  $a[j]$
3. Repeat 2 until  $i > j$
4. Swap  $a[i]$  and  $\text{pivot}$

## More Details

- ◆ Handling duplicates
- ◆ Small arrays
  - $N > 10$ : quick sort
  - $N \leq 10$ : insertion sort

## Exercise

- ◆ Implement quickSort